

## Client Information

## Locker Financial Services, LLC

Lauren Locker, CFP®

50 East Main Street

Suite 5

Little Falls, NJ 07424

[www.LockerFinancial.com](http://www.LockerFinancial.com)



Phone 973-256-2555

Fax 973-807-1616

## CONFIDENTIAL PERSONAL FINANCIAL PLANNING QUESTIONNAIRE

Client Name

Date

### Contact Information:

Address

County

City

State

Zip Code

Phone Number

Fax Number

Cell Number

Email Address

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Legal Name

Client

CoClient

Birth Date

US Citizen?

No  Yes

No  Yes

Current Marriage / Civil Union / Domestic Partnership:

Maiden Name

Single  Married  Divorced

Civil Union  Domestic Partnership

Union Date

City

State

Employment

Client

Name

Address

Occupation

Title / Specialty

Work Phone

Gross Salary

Time in Position

CoClient

Name

Address

Occupation

Title / Specialty

Work Phone

Gross Salary

Time in Position

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### Children

Full Name	Gender	Birth Date	Married?	# Children
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

### Living Parents and/or Dependents

Full Name	Relationship	Birth Date
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

Are you now, or may you soon become, financially responsible for anyone other than an immediate family member?

No  Yes  If yes, please explain:

If the answer to the above question is YES, would this responsibility terminate at your death or continue?

Are there any health problems with any members of your family?

No  Yes  If yes, please explain:



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### Retirement and Long Term Plans

Client

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At what age do you wish to retire?

If you own a home,  
do you plan on selling your home at your retirement?

No  Yes

No  Yes

Do you have a will?

No  Yes

No  Yes

Do you have a trust?

No  Yes

No  Yes

Do you have a durable power of attorney?

No  Yes

No  Yes

Do you expect an inheritance?

From whom?

Do you have homeowners/rental insurance?

No  Yes

No  Yes

Do you have an umbrella policy?

No  Yes

No  Yes

On occasion, the press has contacted Locker Financial Services to request the names of clients who would be willing to be interviewed for various news articles in a variety of publications (Money Magazine, Smart Money, Kiplingers, the Daily Record, The Bergen Record, The Star Ledger, etc.) on subjects that would apply to that client(s).

Are you willing to be interviewed?

No  Yes

No  Yes

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1. Are you presently satisfied with your life from a financial point of view?

2. Is there anything else I should know about you regarding financial planning?

3. In summary, what do you expect me to accomplish for you in the total financial planning process?

4. Please describe any financial decisions you are now in the process of making:

5. Identify the topics that you would like to discuss at our meeting:

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Do you have a formal budget you use for family expenditure? No  Yes  Do you feel you need one? No  Yes

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Do you have a savings and investment target amount you aim for each year?

Have you been successful? No  Yes  Are you satisfied with your investment results? No  Yes

If so, why, or if not, why not?

What is most important to you in investing your money (return, safety of principal, diversification, progressive values, etc.)?

Are there any investments you would prefer over others? Why?

What is your attitude toward investment risk?

Why have you chosen the investments in your portfolio?

How do you arrive at investment decisions?

Are any of your assets earmarked for a specific use at this point?

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Client Signature

CoClient Signature